

Substitute for form 1449A/PTO

Signature

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	6

Complete if Known						
Application Number	10/690,045					
Filing Date	October 20, 2003					
First Named Inventor	Ottoboni et al.					
Group Art Unit	1615					
Examiner Name	Kevin J. Capps					
Confirmation No.	3501					
Attorney Docket No.	375430-002T1D1C1 (355479)					

Examiner	Cite	U.S. Publication D	Ocument		Date of Publication of
Initials*	No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY
K	A03	US-3,743,604	Α .	Schoring et al.	07-03-1973
1	A04	US-4,718,433	Α	Feinstein	01-12-1988
T	A05	US-4,880,634	Α	Speiser	11-14-1989
	A06	US-5,041,292	Α	Feijen	08-20-1991
	A07	US-5,271,961	Α	Mathiowitz et al.	12-21-1993
	A08	US-5,310,540	Α	Giddey et al.	05-10-1994
	A09	US-5,425,366	Α	Schering	06-20-1995
	A10	US-5,501,863	Α	Rossling et al.	03-26-1996
	A11	US-5,518,709	Α	Sutton et al.	05-21-1996
	A12	US-5,542,935	Α	Unger et al.	08-06-1996
	A13	US-5,560,364	A	Porter	10-01-1996
	A14	US-5,562,893	Α	Lohrmann	10-08-1996
	A15	US-5,565,215	A	Gref et al.	10-15-1996
	A16	US-5,569,468	A	Modi	10-29-1996
	A17	US-5,611,344	A	Bernstein et al.	03-18-1997
	A18	US-5,620,883	A	Shao et al.	04-15-1997
	A19	US-5,679,377	A	Bernstein et al.	10-21-1997
· ·	A20	US-5,707,607	A	Quay	01-13-1998
	A21	US-5,711,933	Α	Bichon et al.	01-27-1998
	A22	US-5,730,955	A	Lormann	03-24-1998
	A23	US-5,837,221	Α	Bernstein et al.	11-17-1998
	A24	US-5,863,520	Α .	Bichon et al.	01-26-1999
	A25	US-5,919,434	A	Dugstad et al.	07-06-1999
	A26	US-5,922,304	Α	Unger	07-13-1999
	A27	US-5,948,387	Α	Unger et al.	09-07-1999
	A28	US-6,028,066	Α	Unger	02-22-2000
	A29	US-6,045,777	Α	Church et al.	04-04-2000
	A30	US-6,068,857	A	Weitschies et al.	05-30-2000
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	A33	US-6,200,548	Bl	Bichon et al.	03-13-2001
	A34	US-6,205,352	Bl	Carroll	03-20-2001
	A35	US-6,207,135	, B1	Rossling et al.	03-27-2001
	A36	US-6,224,554	B1	Tickner et al.	05-01-2001

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered

Unique citation designation number. Applicant is to place a check mark here if English language Translation or translation of abstract is attached.

Complete if Known Substitute for form 1449A/PTO **Application Number** 10/690,045 Filing Date October 20, 2003 INFORMATION DISCLOSURE First Named Inventor Ottoboni et al. STATEMENT BY APPLICANT **Group Art Unit** 1615 Kevin J. Capps **Examiner Name** (Use as many sheets as necessary) Confirmation No. 3501 2 of 6 Attorney Docket No. 375430-002T1D1C1 (355479) Sheet

	U.S. PUBLISHED DOCUMENTS								
Examiner	Cite	U.S. Publication I	Document		Date of Publication of				
Initials*	No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY				
M	A37	US-6,444,192	Bl	Mattrey	09-03-2002				
	A38	US-2001/0012522	A1	Ottoboni et al.	08-09-2001				
	A39	US-2003/0118649	A1	Gao et al.	06-26-2003				
	A40	US-2005/0113697	A1	Ottoboni et al.	05-26-2005				
	A41	USSN 09/637,516		Ottoboni et al.	08-11-2000 filing date				
	A42	USSN 10/977,100		Ottoboni et al.	10-28-2004 filing date				
	A43	US-5,770,222	A	Unger et al.	06-23-1998				
	A44								

	,		FOR	REIGN P	ATENT DOCUME	ENTS		
		Foreign Patent Document		Date of		Translation ²		
Initials*	No. ¹	Office	Number	Kind Code (if known)	Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Yes	No
UL	B05	AU	30351/89	В	03-18-1998	Schering AG		
<u>f</u> :	B06	CA	2 342 912	Al	03-09-2000	Point Biomedical Corp.		
	B07	DE	44 16 818 -	A1	11-16-1995	Schering AG	Х	
	B08	EP	0 224 934	Bl	06-10-1987	Feinstein, Steven B.		
	B09	EP	0 398 935	Bl	11-28-1990	Schering AG		
	B10	EP	0 441 468	Bl	08-14-1991	Schering AG		
	B11	EP	0 512 693	Al	11-11-1992	Delta Biotechnology Ltd.		
	B12	EP	0 523 183 •	Bl	01-20-1993	Rhone Poulenc Rorer SA		
	B13	EP	0 681 843	A2	11-15-1995	Andaris Ltd.		
	B14	GB	2 256 183	В	12-02-1992	Delta Biotechnology Ltd.		
	B15	wo	89/06978	A	08-10-1989	Schering AG	Х	
	B16	wo	92/18164	Al	10-29-1992	Delta Biotechnology Ltd.		
	B17	wo	98/48783	Α	11-05-1998	Point Biomedical Corp.		
	B18	wo	98/48838 -	A1	11-05-1998	Nycomed Imaging AS		
	B19	WO	01/12071	A	02-22-2001	Point Biomedical Corp.		
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Examiner Signature	Che Talent	Date Considered	7/31/07

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				Complete if Known		
· Sul	bstitute for form 1449	A/PTO		Application Number	10/690,045	
				Filing Date	October 20, 2003	
	IFORMATIO			First Named Inventor	Ottoboni et al.	
S	FATEMENT E	BY APPI	LICANT	Group Art Unit	1615	
	(Use as many sh	eets as necess	arv)	Examiner Name	Kevin J. Capps	
	(000)			Confirmation No.	3501	
Sheet	2	of	6	Attorney Docket No.	375430-002T1D1C1 (355479)	

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	A37	US-6,444,192	B1	Mattrey	09-03-2002					
	A38	US-2001/0012522	Al	Ottoboni et al.	08-09-2001					
	A39	US-2003/0118649	Al	Gao et al.	06-26-2003					
	A40	US-2005/0113697	Al	Ottoboni et al.	05-26-2005					
	A41	USSN 09/637,516		Ottoboni et al.	08-11-2000 filing date					
- \ -	A42	USSN 10/977,100		Ottoboni et al.	10-28-2004 filing date					
	A43	US-5,770,222	Α	Unger et al.	06-23-1998					
	A44			1						

Examiner	Cite	te Foreign Patent Document		ment	Date of		Translation ²	
Initials*	No. 1	Office	Number	Kind Code (if known)	Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Yes	No
	-B05-	AU	30351/89	B.	03-18-1998	Schering AG		
7-0-	-B06-	·ea	2-342 912	A1	03-09-2000	Point Biomedical Corp.		
u	B07	DE	44 16 818	Al	11-16-1995	Schering AG	Х	
·	B08	EP	0 224 934 +	Bl	06-10-1987	Feinstein, Steven B.		I
	B09	EP	0 398 935	Bl	11-28-1990	Schering AG		
	B10-	EP	0 441 468	B1	08-14-1991 .	Schering AG		
	B1-1-	EP-	0 512 693	A1	11-11-1992	Delta Biotechnology Ltd.		
	B12	EP	0 523 183 •	Bl	01-20-1993	Rhone Poulenc Rorer SA		
	B13-	EP	0 681 843	A2	11-15-1995	Andaris Ltd.		
M	B14	GB	2 256 183	В	12-02-1992	Delta Biotechnology Ltd.		
1 .	B15	wo	89/06978	Α	08-10-1989	Schering AG	Х	
	B16	wo	92/18164	A1	10-29-1992	Delta Biotechnology Ltd.		
	B17	wo	98/48783	Α	11-05-1998	Point Biomedical Corp.		
	B18	wo	98/48838 -	A1	11-05-1998	Nycomed Imaging AS		
/_	B19	wo	01/12071 +	A	02-22-2001	Point Biomedical Corp.		-
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Examiner Signature	(he) Taled	Date Considered	13667889.1.BUSINESS

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				Complete if Known Application Number 10/690,045 Filing Date October 20, 2003 First Named Inventor Ottoboni et al. Group Art Unit 1615 Examiner Name Kevin J. Capps Confirmation No. 3501 Attorney Docket No. 375430-002T1D1C1	lete if Known
Sub	stitute for form 1449A	√PTO			10/690,045
	Substitute for form 1449A/PTO INFORMATION DISCLOSUR STATEMENT BY APPLICAN (Use as many sheets as necessary) et 3 of 6		Filing Date	October 20, 2003	
IN	FORMATION	DISC	CLOSURE	First Named Inventor	Ottoboni et al.
ST	ATEMENT B	Y APF	PLICANT	Group Art Unit	1615
	(Use as many shee	ets as nece	ssary)	Examiner Name	Kevin J. Capps
			•	Confirmation No.	3501
Sheet	3	of	6	Attorney Docket No.	375430-002T1D1C1 (355479)

		OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials* Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		International Search Report from PCT/US98/08827 dated 07-30-1998 (Docket No. 375430-002WO)			
	C04	Written Opinion from PCT/US98/08827 dated 03-11-1999 (Docket No. 375430-002WO)			
	C05	International Preliminary Examination Report from PCT/US98/08827 dated 03-16-2000 (Docket No. 375430-002WO)			
	C06	International Search Report from PCT/US00/22097 dated 10-13-2000 (Docket No. 375430-009WO)			
	C07	Written Opinion from PCT/US00/22097 dated 06-15-2001 (Docket No. 375430-009WO)			
	C08	International Preliminary Examination Report from PCT/US00/22097 dated 09-27-2001 (Docket No. 375430-009WO)			
	C09	European Search Report (supplementary partial) from EP Serial No. 00954000.6 dated 09-15-2004 (Docket No. 375430-009EP)			
	C10	International Search Report from PCT/US04/36537 dated 05-30-2006 (Docket No. 375430-016WO)			
	CII	Written Opinion from PCT/US04/36537 dated 05-30-2006 (Docket No. 375430-016WO)			
	C12	Office Action from AU Serial No. 71730/98 dated 11-13-2000 (Docket No. 375430-002AU)			
	C13	Office Action from CA Serial No. 2,289,088 dated 02-22-2006 (Docket No. 375430-002CA)			
	C14	Office Action from DE Serial No. 198 82 362.2-41 dated 06-26-2006 (Docket No. 375430-002DE)	х		
	C15	Office Action from DE Serial No. 198 82 362.2-41 dated 02-05-2007 (Docket No. 375430-002DE)	х		
	C16	Search Report from EP Serial No. 98918899.0 dated 01-26-2004 (Docket No. 375430-002EP)			
	C17	Office Action from EP Serial No. 98918899.0 dated 06-10-2005 (Docket No. 375430-002EP)			

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				Complete if Known			
Sub	stitute for form 1449A	VPTO		Application Number	10/690,045		
				Filing Date	October 20, 2003		
	FORMATION			First Named Inventor	Ottoboni et al.		
ST	'ATEMENT B'	Y API	PLICANT	Group Art Unit	1615		
<u> </u>	(Use as many shee	ets as nece	essary)	Examiner Name	Kevin J. Capps		
	,		••	Confirmation No.	3501		
Sheet 4		of	6	Attorney Docket No.	375430-002T1D1C1 (355479)		

	OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS								
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s),							
		volume-issue number(s), publisher, city and/or country where published.	Yes	No					
R	C18	Office Action from EP Serial No. 98918899.0 dated 03-17-2006 (Docket No. 375430-002EP)							
}	C19	Office Action from GB Serial No. 9925661.2 dated 11-27-2000 (Docket No. 375430-002GB)							
	C20	Office Action from GB Serial No. 9925661.2 dated 07-06-2001 (Docket No. 375430-002GB)							
	C21	Office Action from GB Serial No. 9925661.2 dated 09-25-2001 (Docket No. 375430-002GB)							
	C22	Office Action from MX Serial No. 999986 dated 05-2003 (Docket No. 375430-002MX)	х	٠					
	C23	Office Action from MX Serial No. 999986 dated 11-2003 (Docket No. 375430-002MX)	х						
	C24	Office Action from MX Serial No. 999986 dated 09-2005 (Docket No. 375430-002MX)	х						
	C25	Office Action from US Serial No. 08/847,153 dated 06-15-1998 (Docket No. 375430-002US)							
	C26	Office Action from US Serial No. 08/847,153 dated 02-22-1999 (Docket No. 375430-002US)							
	C27	Office Action from US Serial No. 09/070,474 dated 12-22-1999 (Docket No. 375430-002T1US)							
	C28	Office Action/Notice of Allowance from US Serial No. 09/070,474 dated 12-22-1999 (Docket No. 375430-002T1US)		:					
	C29	Office Action from US Serial No. 09/758,988 dated 06-27-2002 (Docket No. 375430-002T1D1US)							
	C30	Office Action from US Serial No. 09/758,988 dated 02-26-2003 (Docket No. 375430-002T1D1US)							
	C31	Office Action from US Serial No. 09/758,988 dated 06-03-2004 (Docket No. 375430-002T1D1US)							
	C32	Office Action from US Serial No. 09/758,988 dated 01-27-2005 (Docket No. 375430-002T1D1US)							

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Examiner Signature	beitetent	Date Considered	3667889 1.BUSINESS
			

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Substitute for form 1449A/PTO				Application Number	10/690,045		
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_	FORMATION			First Named Inventor	Ottoboni et al.		
SI	TATEMENT B	Y APP	LICANT	Group Art Unit	1615		
	(Use as many shee	ets as nece	ssary)	Examiner Name	Kevin J. Capps		
				Confirmation No.	3501		
Sheet 5		of	6	Attorney Docket No.	375430-002T1D1C1 (355479)		

		OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials* C33 C34								
		Office Action from US Serial No. 09/637,516 dated 12-10-2001 (Docket No. 375430-009US)						
			C35	Office Action from US Serial No. 09/637,516 dated 06-27-2002 (Docket No. 375430-009US)				
	C36	Office Action from US Serial No. 09/637,516 dated 12-31-2002 (Docket No. 375430-009US)						
	C37	Office Action from US Serial No. 09/637,516 dated 09-23-2003 (Docket No. 375430-009US)						
	C38	Office Action from US Serial No. 09/637,516 dated 07-01-2004 (Docket No. 375430-009US)		-				
	C39	Office Action from US Serial No. 09/637,516 dated 10-19-2005 (Docket No. 375430-009US)						
	C40	Office Action from US Serial No. 09/637,516 dated 01-23-2007 (Docket No. 375430-009US)						
	C41	Andre et al., 1990, "Physical and Acoustical Properties of Perfluorooctylbromide, an Ultrasound Contrast Agent," Investigative Radiology 25(9):983-987						
	C42	Andre et al., 1993, "Enhancement of the Echogenicity of Flowing Blood by the Contrast Agent Perflubron," Investigative Radiology 28(6):502-506						
	C43	Bazile et al., 1992, "Body Distribution of Fully Biodegradable [14C]-poly(lactic acid) Nanoparticles Coated With Albumin after Parenteral Administration to Rats," Biomaterials 13(15):1093-1102						
	C44	DeCicco et al., 1988, "Lymphoscintigraphy and Radioguided Biopsy of the Sentinel Axillary Node in Breast Cancer," J. Nucl. Med. 39(12):2080-2084						
	C45	DeCicco et al., 1998, "Intraoperative Localization of the Sentinel Node in Breast Cancer: Technical Aspects of Lymphoscintigraphic Methods," Semi. Surg. Oncol. 15:268-271						
1	C46	Forsberg et al, 2001, "Physics of Constrast Microbubbles," Chapter 2 of Ultrasound Contrast Agents Text Book, 2 nd Edition, Martin Dunitz Ltd., pp. 15-24						

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				Filing Date	October 20, 2003		
	NFORMATION			First Named Inventor	Ottoboni et al.		
S'	TATEMENT B	Y APF	PLICANT	Group Art Unit	1615		
	(Use as many shee	us as nece	ssary)	Examiner Name	Kevin J. Capps		
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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s),	Transl	Translation ²			
		volume-issue number(s), publisher, city and/or country where published.					
C47		Hughes et al., 2001, "Comparison of Ultrasound Scattering Properties of Optison® with a Liquid Perfluorocarbon Nanoparticle Constrast Agent," 2001 IEEE Ultrasonics Symposium, pp. 1675-1678					
	C48	Kremkau, Frederick W., 1988, "Diagnostic Ultrasound: Principles and Instruments," 5th Edition, W.B. Saunders Company pp. 1-3, 10-11, 24-25, 32-33, 38-39, 48-49, 60-51, 82-83, 86-87, 106-107, 114-115, 144-145, 180-181, 188-189, 218-223, 234-235, 270-271, 282-283, 336-337, 362-365, 420-421, 452-453 and 472-473					
	C49 Linehan et al., 1999, "Sentinel Lymph Node Biopsy in Breast Cancer: Unfiltered Radioisotope is Superior to Filtered," J. Am. Coll. Surgery 188(4):377-381						
	C50 Lubbers et al., 1976, "An Ultrasonic Detector for Microgasemboli in a Bloodflow Line," An Ultrasound in Med. & Biol. 2:301-310						
	C51	Mattrey et al., 1982, "Perfluoroctylbromide: A Liver/Spleen-Specific and Tumor- Imaging Ultrasound Constrast Material," Radiology 145:759-762					
	C52	Medwin, 1977, "Counting Bubbles Acoustically: A Review," Ultrasonics 15:7-13					
	C53	Ngo et al., 2000, "Evaluation of Liquid Perfluorocarbon Nanoparticles as a Blood Pool Contrast Agent Utilizing Power Doppler Harmonic Imaging," 2000 IEEE Ultrasonic Symposium, pp. 1931-1934 (w/ Fig. 3 & 4 enlarged for enhancement) (total of 6 sheets)					
	C54	Ottoboni et al., "Microparticles Useful as Ultrasonic Contrast Agents and for Drug Delivery," 1999, Database Derwent on East AN 1999-009363. WO98/48783 11/1998 Abstract		•			
	C55	Paganelli et al., 1998, "Optimized Sentinel Node Scintigraphy in Breast Cancer," Q.J. Nucl. Med. 42:49-53					
\	C56	Swartz, 2001, "The Physiology of the Lymphatic System," Advance Drug Delivery Reviews 50:3-20					
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